

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No. 20717
	First Inventor or Application Identifier: Daniel S. Papenfuss
	Title of Invention: TEAR INITIATION AND DIRECTIONAL TEAR FILMS AND PACKAGES MADE THEREFORM
	Express Mail Label No. EV030216235 US

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15757 U.S. PTO
10/689478
102003

Transmitted herewith for filing in the United States Patent Office is a patent application for:

Inventors: Daniel S. Papenfuss; Michael Scott Hartman

Assignee of this invention is: _____

- ☒ The Filing Fee has been calculated as shown below:
- ☐ Applicant claims Small Entity Status. See 37 CFR 1.27.

	No. Filed	No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$0	\$ 770.00
TOTAL CLAIMS:	67 - 20 =	47	X 9 = \$0	x 18 = \$ 846.00
INDEP CLAIMS:	4 - 3 =	1	X 42 = \$0	x 86 = \$ 86.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED			+140 = \$	+290 = \$
*If the difference in Column 1 is less than zero, enter "0" in Column 2.			TOTAL \$	TOTAL \$ 1,702.00

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit
Acct. No. 502023.

- ☒ Fees required under 37 CFR 1.16 (National filing fees).
 - ☒ Fees required under 37 CFR 1.17 (National application processing fees).
 - ☒ Please charge Deposit Account No. 502023 in the amount of \$ 1,702.00
 - ☐ The above filing fee will be paid along with Applicant(s) Response to the Notice to File Missing Parts.
- ☒ Specification; Total Pages 35
 - ☒ 3 (Figs. 1-7) Sheets of Drawing(s) (35 USC 113)
 - ☒ Declaration and Power of Attorney; [Total Pages 3]
 - ☒ Newly executed (original or copy)
 - ☐ Unexecuted copy
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - ☐ DELETION OF INVENTOR(S) Signed statement deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) & 1.33(b).
 - ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper (___ Pages)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s) (including a check for the \$40.00 fee)
10. ☐ 37 CFR 3.73(b) Statement (*when there is an assignee*); ☐ Power of Attorney
11. ☐ English Translation Document (*if applicable*)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449; ___ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
15. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ Foreign Priority is claimed as Application No. _____, filed _____
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).
Applicant **must** attach form PTO/SB35 or its equivalent.
17. ☐ Request for Early Publication Under 37 CFR § 1.219. Fee of \$300.00 is enclosed.
18. **If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:**
- ☐ Continuation ☐ Divisional ☐ Continuation in Part (CIP)
- of prior Application No: _____; Filed _____

Prior Application Information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS:

Customer Number 30482

Signature: _____

Attorney/Agent of Record: Tom J. Hall, Ph.D.

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Date of Deposit: October 20, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.


Kim M. Ramsey